



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION IX

75 Hawthorne Street  
San Francisco, CA 94105-3901

JUN 30 2015

CERTIFIED MAIL NO. 7003 3110 0006 1997 5259  
RETURN RECEIPT REQUESTED

The Honorable Deborah Lee James  
Secretary of the Air Force  
Department of Defense  
1670 Air Force Pentagon  
Washington, DC 20330-1670

Dear Madam Secretary:

Region IX of the U.S. Environmental Protection Agency (the "EPA") is issuing this letter to determine the Clean Air Act, 42 U.S.C. §§ 7401-7671q (the "Act"), compliance status of the U.S. Department of the Air Force ("Air Force") with respect to Section 2025 of the California Code of Regulations, Title 13, Article 4.5, Chapter 1, which is the California Air Resources Board's ("CARB's") "Regulation to Reduce Emissions of Diesel Particulate Matter, Oxides of Nitrogen and Other Criteria Pollutants from In-Use Heavy-Duty Diesel-Fueled Vehicles" (the "Truck and Bus Regulation")<sup>1</sup>. The Truck and Bus Regulation is included in the federally-approved and federally-enforceable State Implementation Plan for the State of California.

Pursuant to Section 114 of the Act, 42 U.S.C. § 7414, the Air Force is hereby required to provide the following information requested in this letter within forty-five (45) calendar days after receipt of this letter. In responding to this request, the Air Force shall use the instructions provided in Enclosure 1<sup>2</sup>.

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<sup>1</sup> The full text of the Truck and Bus Regulation is available on the CARB website at <http://www.arb.ca.gov/msprog/onrdiesel/documents/TBFinalReg.pdf>.

<sup>2</sup> Enclosure 1, Instruction 5, identifies which responses to this request should be entered into the provided workbook called TRUCKINFO.xlsx.

- 1) For each diesel-fueled vehicle in the Air Force's fleet<sup>3</sup> driven in California at any time from May 4, 2012 to the date of this letter with a Gross Vehicle Weight Rating ("GVWR")<sup>4</sup> greater than 26,000 pounds and an engine model year prior to 2007, provide the following:
  - a) state of vehicle registration;
  - b) license plate number;
  - c) vehicle identification number ("VIN");
  - d) engine model year;
  - e) engine family; and
  - f) assigned vehicle terminal, if applicable.
- 2) For each vehicle identified in response to request 1, is the vehicle equipped with a diesel particulate filter ("DPF")<sup>5</sup>? If the answer to this request is "no", skip requests 3, 4, 5 and 6 below.
- 3) For each vehicle for which the response to request 2 is "yes", provide the following information about the DPF on the vehicle:
  - a) DPF manufacturer;
  - b) DPF family name;
  - c) DPF serial number;
  - d) date of purchase; and
  - e) date of installation.
- 4) For each vehicle for which the response to request 2 is "yes", produce a copy of the installation and purchase order documents for each DPF installed.
- 5) State whether each vehicle for which the response to request 2 is "yes" has operated continuously with a DPF as it was designed since installation.
- 6) For each vehicle for which the response to request 5 is "no", describe the reason(s) it did not operate continuously with a DPF as it was designed since installation and produce a copy any records supporting the reason(s).

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<sup>3</sup> As defined in Section 2025(d)(28) of the Truck and Bus Regulation.

<sup>4</sup> As defined in Section 2025(d)(33) of the Truck and Bus Regulation.

<sup>5</sup> As defined in Section 2025(d)(17) of the Truck and Bus Regulation.

- 7) For each diesel-fueled vehicle in the Air Force's fleet<sup>6</sup> driven in California at any time from May 4, 2012 to the date of this letter, that is not equipped with PM BACT<sup>7</sup>; has a GVWR greater than 26,000 pounds; and has an engine model year of 2007, 2008, or 2009, provide the following:
- a) state of vehicle registration;
  - b) license plate number;
  - c) VIN;
  - d) engine model year;
  - e) engine family; and
  - f) assigned vehicle terminal, if applicable.
- 8) For each vehicle identified in response to request 1 or 7, specify the total miles traveled in California for:
- a) Calendar year ("CY") 2012 (only from May 4, 2012 through December 31, 2012);
  - b) CY 2013;
  - c) CY 2014; and
  - d) CY 2015 to the date of this information request.
- 9) For each vehicle identified in response to request 1 or 7, specify whether the vehicle is a drayage truck<sup>8</sup>.
- 10) For each vehicle identified in response to request 1 or 7, provide a copy of fleet mileage summary documents submitted to the International Registration Plan ("IRP")<sup>9</sup> from May 4, 2012 to the date of this letter. These fleet summary documents could include monthly, quarterly, or annual mileage for each vehicle driven in California and other states.
- 11) Provide the terminal name, address, telephone number, and contact person for each terminal identified in response to requests 1(f) and 7(f), if any.
- 12) For each diesel-fueled vehicle hired or dispatched<sup>10</sup> by the Air Force, at any time from May 4, 2012 to the date of this letter, with a GVWR greater than 26,000 pounds and an engine model year prior to 2007, provide the following:

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<sup>6</sup> As defined in Section 2025(d)(28) of the Truck and Bus Regulation.

<sup>7</sup> As defined in Section 2025(d)(48) of the Truck and Bus Regulation.

<sup>8</sup> As defined in Section 2025(d)(19) of the Truck and Bus Regulation.

<sup>9</sup> As defined in Section 2025(d)(38) of the Truck and Bus Regulation.

<sup>10</sup> As referred to in Section 2025(x)(2) of the Truck and Bus Regulation.

- a) the name of the company that owned the vehicle which was hired or dispatched;
- b) the contact person(s) for the company identified in request 12(a) who was responsible for providing the vehicle which was hired or dispatched to the Air Force;
- c) the phone number(s) and address(es) for the contact person(s) identified in request 12(b);
- d) the date initially hired or dispatched; and
- e) whether the vehicle was hired or dispatched during:
  - a) CY 2012 (only from May 4, 2012 through December 31, 2012);
  - b) CY 2013;
  - c) CY 2014; and
  - d) CY 2015 to the date of this information request.

13) For each vehicle identified in response to request 12:

- a) Describe in detail how the Air Force verified that each vehicle hired or dispatched in California was in compliance with the Truck and Bus Regulation and its recordkeeping requirements, as required by Section 2025(x)(2) of the Truck and Bus Regulation.
- b) Provide copies of documents establishing vehicle compliance such as a Certificate of Reported Compliance<sup>11</sup> or a written statement or contract from the hired or dispatched company that verifies compliance with the Truck and Bus Regulation.

14) For each diesel-fueled vehicle leased by the Air Force to transport product to, from or within California at any time from May 4, 2012 to the date of this letter, with a GVWR greater than 26,000 pounds and an engine model year prior to 2007, provide the following:

- a) VIN;
- b) State of vehicle registration;
- c) License plate number;
- d) Engine model year;
- e) Engine family;
- f) Assigned vehicle terminal;
- g) If the vehicle has a diesel particulate filter (yes or no);
- h) Date vehicle no longer operated in California;
- i) Total miles operated in California during:
  - a) CY 2012 (only from May 4, 2012 through December 31, 2012);
  - b) CY 2013;

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<sup>11</sup> As described in Section 2025(y) of the Truck and Bus Regulation.

- c) CY 2014; and
- d) CY 2015 to the date of this information request.
- j) Vehicle owner name and contact person(s) who was responsible for providing the leased vehicle to the Air Force; and
- k) Phone numbers(s) and address(es) for the contact person(s) identified in request 14(j).

15) For each vehicle identified in response to request 14:

- a) State whether or not the Air Force is the fleet owner<sup>12</sup>;
- b) If the Air Force is not the fleet owner, describe the basis for that conclusion; and
- c) If the Air Force is not the fleet owner, provide a copy of all rental or lease agreements or other evidence, if applicable, which supports that conclusion.

16) Provide a brief summary of the actions the Air Force has taken to comply with the Truck and Bus Regulation including but not limited to 2025(g) (model year), 2025(i) (phase in) and 2025(x)(2). Also include the dates these actions were taken.

If the Air Force seeks to withhold any document(s) based on a claim of attorney-client communications privilege or the attorney work product doctrine in its response to this information request, the Air Force shall provide with its response a privilege log for each document containing the following information: (i) the date, author(s), every individual to whom the document was originally sent, every individual who subsequently acquired the document, the purpose for which the document was sent to or obtained by those individuals, and the employment titles of the authors and recipients; (ii) the subject matter of the document; (iii) the privilege claimed for the document and all facts supporting the claim of privilege; (iv) the primary purpose(s), including the business purposes, for which the document was made; (v) the question(s) in this information request to which the document is responsive to; and (vi) all facts contained in the document that are responsive to a question in this information request.

The responsive information shall be accompanied by a cover letter sent via certified mail with return receipt requested to the following address:

Ms. Kathleen H. Johnson  
 Director, Enforcement Division  
 U.S. Environmental Protection Agency  
 75 Hawthorne Street  
 San Francisco, CA 94105

Attn: Matt Salazar (ENF-2-1)

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<sup>12</sup> As defined in Section 2025(d)(29) of the Truck and Bus Regulation.

Please be advised that under Section 113(a) of the Act, 42 U.S.C. § 7413(a), failure to provide the information and documents required by this letter may result in an order requiring compliance, an order assessing an administrative penalty, or a civil action for appropriate relief. Section 113(b) of the Act, 42 U.S.C. § 7413(b), provides for the assessment of a civil penalty, which has been adjusted for inflation to \$37,500 per day, for each violation of the Act. See 40 C.F.R. § 19.4 (for penalties effective after January 12, 2009). In addition, Section 113(c) of the Act, 42 U.S.C. § 7413(c), provides criminal penalties for knowingly making any false material statement in, or omitting material information from, any report required under the Act. The information provided by the Air Force may be used by the United States in administrative, civil, or criminal proceedings.

You may, if you desire, assert a confidential business information ("CBI") claim on behalf of the Air Force covering part or all of the information provided to EPA in response to this letter. Any such CBI claim must conform to the requirements set forth in 40 C.F.R. Part 2, particularly 40 C.F.R. § 2.203, and contain supporting documentary evidence. In addition, any such claim must be substantiated in accordance with 40 C.F.R. § 2.204(e)(4).

Specify by page, paragraph and sentence when identifying information from a document where you make a CBI claim. Where your claim does not include all information on a page, please attach a copy of each such page with brackets around the text you claim to be CBI. If a page, document, or group or class of documents claimed by you to be CBI, contains a significant amount of information which our Regional Counsel determines is not CBI, your CBI claim regarding that page, document, or group or class of documents may be denied.

You are advised that certain information may be made available to the public pursuant to Section 114(c) of the Act, 42 U.S.C. § 7414(c), and 40 C.F.R. § 2.301, notwithstanding a claim that such information is entitled to confidential treatment. Please note that emission data provided pursuant to Section 114 of the Act, 42 U.S.C. § 7414, is not entitled to confidential treatment under 40 C.F.R. Part 2, Subpart B. Emission data is defined at 40 C.F.R. §§ 2.301(a)(2). If no claim of confidentiality is received with your reply, the information may be made available to the public without notice to the Air Force.

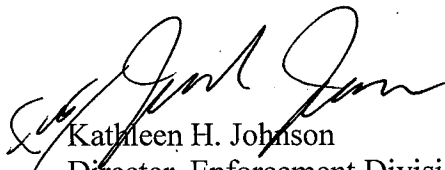
This information request is not subject to review by the Office of Management and Budget under the Paperwork Reduction Act because it is not the "collection of information" within the meaning of 44 U.S.C §§ 3502(3) and 3518(c)(1), since it is being issued during the conduct of an investigation involving the EPA against specific individuals or entities. See also 5 C.F.R. § 1320.4.

The Air Force shall submit its response to this request postmarked no later than forty-five (45) calendar days after receipt of this letter. All information submitted in response to this request must be certified in a signed, separate statement as true, correct, accurate, and complete, to the best of his/her knowledge, by an individual with sufficient knowledge and authority to make such representations on behalf of the Air Force. On the last page of your response to this information request, include the certification contained in Enclosure 2.

If the Air Force anticipates that it will not be able to respond fully to this request within the time period specified, the Air Force must submit a sworn declaration by a responsible agency official within ten (10) calendar days after receipt of this letter specifying what information will be provided by the allotted deadline, describing the efforts that have been/are being undertaken to obtain the remaining other responsive information, and providing a detailed schedule of when such other responsive information will be provided. Upon receipt and based upon such declaration, EPA may extend the time in which to respond to this information request. Also, please contact EPA if the Air Force determines that a full response to a particular request for information would require the submission of an extremely large number of documents or data files. Based upon such notification, EPA may modify the scope of the documents required to be produced.

If you have any questions regarding this request, please contact Matt Salazar of my staff at (415) 972-3982; or your attorney can contact Daniel Reich, Office of Regional Counsel at (415) 972-3911. Thank you for your cooperation in this matter.

Sincerely,



Kathleen H. Johnson  
Director, Enforcement Division

Enclosures

cc: James Ryden, California Air Resources Board

## ENCLOSURE 1: Instructions

1. Prepare a cover letter that includes a written response to all requests referencing the request number to which each answer or document pertains. In addition, attach to the cover letter the signed certification by a corporate official with authority to make such representations for the U.S. Department of the Air Force ("Air Force"), described in the body of the above information request letter.
2. To the extent that the Air Force has no responsive information or documents for any particular request, this must be explicitly stated in the response.
3. Where documents or information necessary for a response are neither in your possession nor available to you, indicate in your response why such documents or information is not available or in your possession and identify any source that either possesses or is likely to possess such information.
4. To the extent that a document is responsive to more than one request, this must be so indicated and only one copy of the document need be provided.
5. Please include data in the provided workbook called TRUCKINFO.xlsx in an electronic format. Follow the instruction in TRUCKINFO.xlsx under the "Instructions" tab. The Instructions tab requires, in part, that:
  - a. the responses to requests 1, 2, 3, and 5 shall be entered in the enclosed file TRUCKINFO.xlsx under the "Older Fleet" tab;
  - b. the responses to request 7 shall be entered in the enclosed file TRUCKINFO.xlsx under the "2007-2009 Fleet" tab;
  - c. the responses to requests 8 and 9 shall be entered in the enclosed file TRUCKINFO.xlsx under the "Older Fleet" tab or "2007-2009 Fleet" tab, respectively;
  - d. the responses to request 11 shall be entered in the enclosed file TRUCKINFO.xlsx under the "Terminals" tab; and
  - e. the responses to requests 14(a) through (k) and 15(a) shall be entered in the enclosed file TRUCKINFO.xlsx under the "For Lease" tab.
6. All data not submitted in TRUCKINFO.xlsx must be provided in spreadsheet format (e.g., Excel format) rather than as images.
7. All responsive documents must be:
  - a. provided as an accurate and legible copy in a searchable PDF file format;
  - b. submitted on a disk (CD or DVD media); and
  - c. number stamped in sequential order (e.g. BATES stamped).



ENCLOSURE 2: Statement of Certification

[This Certification is for signature by the secretary, or another agency official with authority to perform similar policy or decision-making functions of the agency.]

The U.S. Department of the Air Force ("Air Force") is submitting the enclosed documents in response to the U.S. Environmental Protection Agency's ("EPA") request for information, issued pursuant to Section 114(a) of the Clean Air Act, to determine whether the facility is in compliance with the Clean Air Act, including with the federally-approved California Truck and Bus Regulation.

I certify that I am fully authorized by the Air Force to provide the above information on its behalf to EPA.

I certify under penalty of law that I have personally examined and am familiar with the statements and information submitted in the enclosed documents, including all attachments. Based on my inquiry of those individuals with primary responsibility for obtaining the information, I certify that the statements and information are, to the best of my knowledge and belief, true, correct, accurate and complete. I am aware that there are significant penalties for submitting false statements and information, or omitting required statements and information, including the possibility of fines and imprisonment for knowing violations.

Date: \_\_\_\_\_

Name (Printed): \_\_\_\_\_

Signature: \_\_\_\_\_

Title: \_\_\_\_\_

